

FORM PTO-1390
(REV. 9-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

16673-8

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10 / 088018INTERNATIONAL APPLICATION NO.
PCT/IB00/01301INTERNATIONAL FILING DATE
14 September 2000PRIORITY DATE CLAIMED
17 September 1999

TITLE OF INVENTION METHOD AND SYSTEM FOR TRANSMITTING A CHAIN OF MESSAGES FOR DATABASE

APPLICANT(S) FOR DO/EO/US

Christophe NICOLAS; Michael John HILL

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A FIRST preliminary amendment.
14. A SECOND or SUBSEQUENT preliminary amendment.
15. A substitute specification.
16. A change of power of attorney and/or address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. Other items or information: International Search Report

International Preliminary Examination Report

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 107088018	INTERNATIONAL APPLICATION NO PCT/IB00/01301	ATTORNEY'S DOCKET NUMBER 16673-8																				
<p><input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</p>		CALCULATIONS PTO USE ONLY																				
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 890																				
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>		\$																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 2px;">CLAIMS</th> <th style="text-align: left; padding: 2px;">NUMBER FILED</th> <th style="text-align: left; padding: 2px;">NUMBER EXTRA</th> <th style="text-align: left; padding: 2px;">RATE</th> <th style="text-align: left; padding: 2px;">\$</th> </tr> </thead> <tbody> <tr> <td style="padding: 2px;">Total claims</td> <td style="padding: 2px;">17 - 20 =</td> <td style="padding: 2px;">0</td> <td style="padding: 2px;">x \$18.00</td> <td style="padding: 2px;">\$ 0</td> </tr> <tr> <td style="padding: 2px;">Independent claims</td> <td style="padding: 2px;">2 - 3 =</td> <td style="padding: 2px;">0</td> <td style="padding: 2px;">x \$84.00</td> <td style="padding: 2px;">\$ 0</td> </tr> <tr> <td colspan="2" style="padding: 2px;">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="padding: 2px;"></td> <td style="padding: 2px;">+ \$280.00</td> <td style="padding: 2px;">\$ 280</td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	Total claims	17 - 20 =	0	x \$18.00	\$ 0	Independent claims	2 - 3 =	0	x \$84.00	\$ 0	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$ 280	\$ 1,170
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$																		
Total claims	17 - 20 =	0	x \$18.00	\$ 0																		
Independent claims	2 - 3 =	0	x \$84.00	\$ 0																		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$ 280																		
<p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p>		\$ +																				
SUBTOTAL =		\$ 1,170																				
<p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>		\$																				
TOTAL NATIONAL FEE =		\$ 1,170																				
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</p>		\$ 40																				
TOTAL FEES ENCLOSED =		\$ 1,210																				
<div style="display: flex; justify-content: space-between;"> Amount to be refunded: \$ </div>		\$																				
<div style="display: flex; justify-content: space-between;"> charged: \$ </div>		\$																				
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ 1,210 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3030 (but not issue fees). A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>																						
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p>																						
<p>SEND ALL CORRESPONDENCE TO</p> <p>Clifford W. Browning Woodard, Emhardt, Naughton, Moriarty & McNett Bank One Center/Tower 111 Monument Circle, Suite 3700 Indianapolis, Indiana 46204-5137 browning@worldip.com</p>																						
 SIGNATURE Clifford W. Browning NAME 32,201 REGISTRATION NUMBER																						

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent) BOX PCT
application of:)
)
)
Christophe Nicolas et al.)
)
Corresponding to International Application)
 No. PCT/IB00/01301)
)
Filed September 14, 2000)
)
METHOD AND SYSTEM FOR)
TRANSMITTING A CHAIN OF)
MESSAGES FOR DATABASE) March 13, 2002

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

As a Preliminary Amendment to the above-referenced Application, please enter the following amendments prior to computing the filing fees therefore.

IN THE CLAIMS :

Please amend claims 4, 7, 8, 12, 13, 14 and 15 as follows:

Express Mail Label No. EL916999765US

Date of Deposit: March 13, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Sherry M. Hettings
Signature of person mailing paper or fee

4. Method of transmission according to claim 1, characterised in that the subscriber database is linked to a subscriber unit and in that it consists in memorising the management messages in a memory of the subscriber unit and to present them on request to the database.

7. Method of transmission according to claim 4, characterised in that it consists in receiving in the database, a message element of a chain, and to allocate in the subscriber unit, the memory necessary for receiving all the elements of the chain.

8. Method of transmission according to claim 4, characterised in that it consists, on request, to allow the composition by the subscriber module of a managing message describing its software and hardware resources and in sending said message, either to the database (SM), or to the management centre.

12. Transmission system of chain of messages according to claims 10 to 11, characterised in that the security module (SM) includes a message manager (GM) able to store in a memory the state of the processing of each message of the chain, and that it includes comparison means of this state with the conditions mentioned in the conditional block (CD) of the message in processing.

13. Transmission system of chain of messages according to claim 10, characterised in that the subscriber unit includes a memory (M) of messages, each incoming message causing the displacement of the previous message in the memory (M), and in that the security module (SM) includes means to read and process these messages.

14. Transmission system of chain of messages according to claim 10, characterised in that the subscriber unit (STB) includes a connection line (I/O) towards the security module (SM) and that it includes means to determine the size of the memory (M) according to the instructions received from the security module (SM), and to answer about the composition and the sending of a managing message to the security module (SM).

15. Transmission system of chain of messages according to claim 10, characterised in that the subscriber unit (STB) includes a selection module (SW) allowing to connect the separator of management messages (SEL), the processing centre (CTR) of the subscriber module, the

security module (SM) and the memory (M), and means to recognise the management messages destined only to the processing centre (CTR), and switching by the selection module (SW) these messages only towards the processing centre (CTR).

REMARKS

Attached hereto are pages 5-6 that present a marked up version of the changes made to the claims by this preliminary amendment. Page 5 is captioned "Version With Markings To Show Changes Made."

Respectfully submitted,

By: Clifford W. Browning
Clifford W. Browning
Reg. No. 32,201
Woodard, Emhardt, Naughton,
Moriarty & McNett
Bank One Center/Tower
111 Monument Circle, Suite 3700
Indianapolis, Indiana 46204-5137
(317) 634-3456

#161708

VERSION WITH MARKINGS TO SHOW CHANGES MADE

Claims 4, 7, 8, 12, 13, 14, and 15 have been amended as follows:

4. (Amended) Method of transmission according to claim[s] 1 [to 3], characterised in that the subscriber database is linked to a subscriber unit and in that it consists in memorising the management messages in a memory of the subscriber unit and to present them on request to the database.
7. (Amended) Method of transmission according to claim[s] 4 [to 6], characterised in that it consists in receiving in the database, a message element of a chain, and to allocate in the subscriber unit, the memory necessary for receiving all the elements of the chain.
8. (Amended) Method of transmission according to claim[s] 4 [to 7], characterised in that it consists, on request, to allow the composition by the subscriber module of a managing message describing its software and hardware resources and in sending said message, either to the database (SM), or to the management centre.
12. (Amended) Transmission system of chain of messages according to claims 10 [and] to 11, characterised in that the security module (SM) includes a message manager (GM) able to store in a memory the state of the processing of each message of the chain, and that it includes comparison means of this state with the conditions mentioned in the conditional block (CD) of the message in processing.
13. (Amended) Transmission system of chain of messages according to claim[s] 10 [to 12], characterised in that the subscriber unit includes a memory (M) of messages, each incoming message causing the displacement of the previous message in the memory (M), and in that the security module (SM) includes means to read and process these messages.
14. (Amended) Transmission system of chain of messages according to claim[s] 10 [to 13], characterised in that the subscriber unit (STB) includes a connection line (I/O) towards the security module (SM) and that it includes means to determine the size of the memory (M) according to the instructions received from the security module (SM), and to answer about the composition and the sending of a managing message to the security module (SM).

15. (Amended) Transmission system of chain of messages according to claim[s] 10 [to 13], characterised in that the subscriber unit (STB) includes a selection module (SW) allowing to connect the separator of management messages (SEL), the processing centre (CTR) of the subscriber module, the security module (SM) and the memory (M), and means to recognise the management messages destined only to the processing centre (CTR), and switching by the selection module (SW) these messages only towards the processing centre (CTR).

(12) DEMANDE INTERNATIONALE PUBLIÉE EN VERTU DU TRAITÉ DE COOPÉRATION
EN MATIÈRE DE BREVETS (PCT)

(19) Organisation Mondiale de la Propriété
Intellectuelle
Bureau international



(43) Date de la publication internationale
29 mars 2001 (29.03.2001)

PCT

(10) Numéro de publication internationale
WO 01/22684 A1

(51) Classification internationale des brevets⁷: H04L 29/06, G06F 9/445, H04N 7/24, 7/52, H04L 12/18

(71) Déposant (*pour tous les États désignés sauf US*): NA-GRACARD SA [CH/CH]; 22, route de Genève, CH-1033 Cheseaux-sur-Lausanne (CH).

(21) Numéro de la demande internationale: PCT/IB00/01301

(72) Inventeurs; et
(75) Inventeurs/Déposants (*pour US seulement*): NICOLAS, Christophe [CH/CH]; 59, rue de Lausanne, CH-1028 Préverenges (CH). HILL, Michael, John [CH/CH]; 10, route de Commugny, CH-1296 Coppet (CH).

(22) Date de dépôt international:
14 septembre 2000 (14.09.2000)

(74) Mandataire: WENGER, Joël; Griffes Consulting S.A., 81, route de Florissant, CH-1206 Genève (CH).

(25) Langue de dépôt:
français

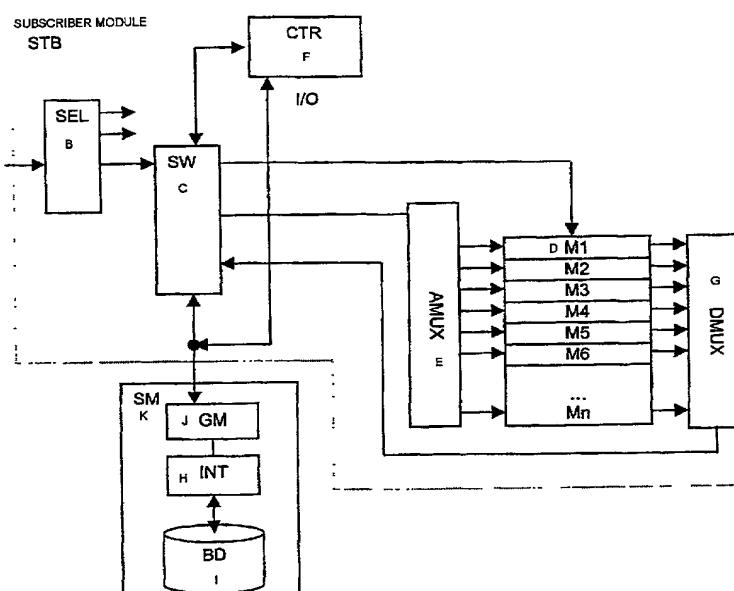
(81) États désignés (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE,

(26) Langue de publication:
français

[Suite sur la page suivante]

(54) Title: METHOD AND SYSTEM FOR TRANSMITTING A CHAIN OF MESSAGES FOR DATABASE

(54) Titre: PROCEDE ET SYSTEME DE TRANSMISSION D'UNE CHAINE DE MESSAGES POUR BASE DE DONNEES



B. FILTERING MODULE
C. SELECTION MODULE
D. STORAGE UNITS
E. ADDRESS MULTIPLEXER
F. PROCESSING MODULE

G. DATA MULTIPLEXER
H. CONTROL INTERPRETER
I. DATABASE
J. MODULE MANAGER
K. SECURITY MODULE

(57) Abstract: The invention concerns a method and a system for transmitting a chain of messages for updating a database between a management centre and a plurality of geographically distributed subscriber databases. Each message comprises a chain identifier (FM) and a chain index (FI) for identifying the message in the chain. If a message is not received following a disturbance in the connection, the processing of the next messages can block the databases. In order to remedy that inconvenience, the solution consists in assigning to each message a block status (CD) which determines whether said message is to be processed without referring to the members of the chain and what are the conditions concerning the prior processing of members of the chain.

WO 01/22684 A1

[Suite sur la page suivante]

MESSAGE CHAINING TRANSMISSION PROCESS AND SYSTEM FOR DATA BASES.

The present invention concerns a process and system of database updating, and in particular during the transmission of a chain of messages.

- 5 In a system comprising a management centre and a plurality of subscribers over a wide territory, the sending by telephone or hertz route of updating information of the database of these subscribers is known. These messages are addressed, either to all subscribers, or to one subscriber in particular, that is to say that it contains a subscriber module address.
- 10 These messages are for the administration of the system and are themselves superposed to the useful data such as video, audio or data. One understands that the place used by these messages is limited. Another limitation applies to the length of the message which is limited by the fact that the useful data cannot be interrupted but for a short moment. One understands that, in the example of an audio/video transmission, the emission channel cannot be interrupted but for a short moment so that no visual impact will be perceptible.
- 15

This is why, for transmission of a large amount of data, it has been necessary to divide them in a large number of messages.

- 20 These messages are sent in sequence on the network, in a logic order, that is to say one after the other, separated by a short interval, for example one second.

As certain systems of this type do not use return towards the managing centre, as for example a modem, it is difficult for the managing centre to know if the sent data has arrived correctly. In this way, it is obliged to repeat these messages periodically so as to statistically ensure that each message has arrived at destination.

- 25 A subscriber module includes schematically a numerical, audio, video or data receiver, to see a combination of these three types, a decoder able to separate the management messages, these latter being directed towards a security module comprising the subscriber database. This security module can be directly installed in

the subscriber module or, for security and cost reasons, it can be as a detachable module such as an intelligent card or microchip card.

The messages arriving at the security module are processed by the control-data reader. It is possible that the messages do not arrive in the emission order because

5 of interferences in the transmission or simply as the subscriber's unit was not engaged at the moment of the sending of previous messages. It is necessary to specify that prior to each processing, each message is first decrypted and controlled for its authenticity. A message which does not satisfy the control criteria is rejected.
In this hypothesis, the security module will receive for example the sign message 3
10 before the sign messages 1 and 2. The execution of the sign message 3 without the prerequisite execution of two previous messages can lead to blocking of the database or to a certain error.

A first solution consists in memorising all messages constituting a chain and, when it is complete, to proceed to its processing. This solution has the drawback to establish

15 the length of the maximum chain according to the memory available.

The memory capacity of detachable intelligent cards is limited, which obliges the card to process each message at each arrival.

The problem that the present invention proposes to solve, is to suppress on the subscriber's database the disastrous effects due to the execution of messages in an
20 order different to that initially foreseen.

This aim is fully reached by a transmission process of a chain of subscriber's database management messages, a process consisting to associate a conditional block which determines if the message is to be processed without reference to all or part of others element of the chain or the conditions bound to the previous
25 processing of all or part of other elements of the chain.

In fact, due to this new conditional block included in each message elements of a chain, it is possible to determine if this message can be processed separately or must satisfy the conditions of processing of the messages considered to be received first. It is obvious that this test allows also to determine if the message in evaluation
30 has already been processed.

To reach this aim, the security module disposes of a memory organized under the form of table indicating, for each chain which are part of the messages of this chain already having been made object of a processing. After processing of all the elements of the chain, the table of this chain is maintained in order to avoid that the
5 returning of the same chain restarts its execution. It can be deleted on request of the management centre or after a predefined time.

The conditional block contained in the message does not contain only a simple indication binding the processing of the message in progress to condition of having carried out the previous message, but also covers the more complex functions, such
10 as conditions on each element of the message chain. For example, it is possible to submit the processing of element 4 of the chain on the condition that both elements 1 or 2 are processed and that element 3 is categorically processed. We will thus have the function:

$$F(4) = (1 \text{ or } 2) \text{ and } 3.$$

15 We take the example of the arrival to the security module of the message element of family 5, this message being element 4 of this family. The first operation will be to determine if its processing is submitted to other conditions. If this is not the case, it can be processed immediately. It should be noted that to chain messages does not mean that the processing must be made in the index order of the chain. One can
20 imagine the case where one loads a significant software, and for this reason, one divides it to transmit it in a chain of messages. Each of these messages contains a charging address and the corresponding data. This is why an element of the chain can be processed in a indifferent order. On the other hand, the last element of the chain starting this new software will contain a condition stating that all the elements
25 of the chain must have been carried out in order that this one can be carried out for its part. When this condition has been satisfied, the table correspondent to this family indicates that all messages have been carried out.

According to a variant of the invention, the conditional block is divided in two parts, the one called "operation" to describe the type of logic function and the other called "interested element" to describe on which other elements the operation must apply.
30 The size of the part "interested element" corresponds to the size used in the table

stored in the database designating the state of processing the elements of the chain. In this way, the logic comparison is greatly facilitated.

According to other embodiments, the conditional block refers not to all the other elements of the chain, but to some only. It would be for example possible referring to

5 three previous elements and not to all the elements. This allows to reduce the length of the conditional block and takes into account the fact that an interference rarely exceeds the time of three messages. According to another example, one could define a chain structure where only the last element contains a conditional block.

This structure allows, unlike the solutions of the prior art, to reject only a minimum of

10 message. In fact, when a message is missed in a chain, all the following messages were rejected until the new passage of the missing message. The execution of a chain was in this way dependent upon the continuous receiving of elements of the chain, each element missing having as a consequence to reject all the index messages higher than missing message.

15 According to an embodiment of the invention, the subscriber module, besides sending the messages to the security module, includes a memory to memorise them as soon as they arrive.

Therefore, it is possible that the absence of a message containing a condition on a preceding message leads to reject all the following messages. When this attended

20 message arrives, it is of course processed authorising the processing of other messages. It is possible on the other hand that a great deal of time elapses before these are present in the transmission with the risk that some are rejected, for example due to the bad quality of the connection between the managing centre and the subscriber module.

25 To minimize the number of repeated messages necessary for the chain is finally carried out in its entirety, the security module can accede to memory situated in the subscriber module because it contains all the messages in their arrival order. Thus, as soon as the arrival of the missing message and its processing has been accomplished, the security module asks the reading of the memory to process all the
30 messages which have been rejected because of the condition on the missing message.

An important aspect of the invention lies in presenting each message to the security module together with the storage in memory in the subscriber module. This principle can include exceptions when some messages are not destined to the security module but only to the module of the subscriber. Thus, even if some messages are
5 rejected by the security module as the conditions are not fulfilled, this system knows that this message is contained in the memory of the subscriber module and can, when the condition is fulfilled, accede to memory to carry out these messages instead of awaiting a next passage of following messages.

In an embodiment, the memory of the subscriber module is organised as a stack with
10 entry in series, each new entry causing the displacement of previous entry.

The reading by the security module can be realised in different ways. It can ask the transmission of an exact address of the memory. Nevertheless, an important aspect of the security in this kind of application lies in the confidentiality of the organisation of data. For this reason, instead asking the transmission of a specific address, the
15 security module asks the subscriber module to submit all or part of messages contained in its memory. A loading of the security module remains to sort out between the messages already carried out and the messages to carry out.

The invention will be better understood based on the following detailed description which refers to annexed drawings which are given by way of a non limitative
20 example, wherein:

- Figure 1 represents a message such as that sent in the systems of prior art;
- Figure 2 represents a message such as that sent according to the invention;
- Figure 3 represents an example of updating the temporary memory of the subscriber module.

25 In Figure 1 the different blocks of a message which take part in the function of chaining are represented schematically. We find a first heading block HD, which describes the kind of message, and contains the information that this message is part of a chain. To form the chain, a second family block FM indicates to which family this message belongs. In fact, it is possible that several chains are transmitted
30 simultaneously and in this case the identification of the family is necessary. Now that

the family is defined, the subsequent block FI is used to identify each element of the family and its place in the chain. So, with these two data, each element of the family can be placed again end to end with the other elements of the same family if necessary. It is known to indicate in one or the other of control blocks FI or FM the 5 maximum number of the element of the family. This function can equally be obtained by a particular marking of last element of the family.

In the example of figure 2, the message of Figure 1, starting the two blocks FM and FI, one sees adjoining a supplementary block CD which determines a condition to carry out this message. According to a first embodiment of the invention, this block is 10 constituted by a bit which indicates if the previous message should or should not be executed. If this condition is requested, the interpreter in charge of operations on the database, will verify if the previous message has been executed properly and will execute this new message.

In another embodiment, this conditional block CD is constituted by a field realised 15 with groups, a group for each element of the chain. Each group contains a condition on an element of the chain and can have several meanings, for example the condition "must have been executed", "can be executed" or "must not be executed". The latter condition generally corresponds to the first.

We take the example of a chain of 6 elements, the element 3 should forcedly be 20 carried out before element 5. In this case, one can specify in message 3 that it should not be carried out if message 5 has been done. This condition can lead to a locking if one does not specify the inverted condition in message 5. In this case, message 5 will contain the condition "must be executed", on message 3 in order that if message 5 arrived before 3, it will be not processed.

25 In Figure 3, an implementation of the memory M of the subscriber module and the connection with the security module are represented. The incoming flux is firstly filtered by a module SEL, which has the scope to separate the managing messages of other data. These messages are then transmitted to the selection module SW which has the scope to send them to different modules that is to say the security 30 module SM, to the processing centre CTR of the subscriber module STB and to memory M of the subscriber module. The placing in memory of these messages

causes the increasing of the number of incoming messages so that no message will be lost, the oldest message being then eliminated from the memory. In the same way, these messages are transmitted to the security module, represented here as an intelligent card SM. This card SM contains a first memory managing module GM and

- 5 a control interpreter INT for managing the controls of the database BD. This memory manager GM can dialogue with the processing centre CTR by the connection I/O and by this means, to influence the connections in the selection module SW. The dotted line represented in Figure 3 represents the subscriber module STB. All the managing messages addressed to the security module SM are directed by the
- 10 selector SW to the security module, in particular to the management of memory GM, then are transmitted to the control interpreter if the carrying out conditions are fulfilled. The memory manager GM updates the table of messages carried out to make the necessary comparisons at the moment of the arrival of a new message.
- 15 The connection with the intelligent card SM is of in/out type and in this way information and controls can be sent to destination of the subscriber module, connection represented by the line I/O. As explained previously, the memory M is physically in the subscriber unit STB. This is why the card SM can, by the intermediate of the line I/O, ask the availability of a memory section so as to be able to store the messages of a chain. In our example, the maximum number of elements
- 20 in a chain does not exceed 16. So, at the arrival of the first element of the chain, the card SM, by the line I/O, requests the reservation of at least 16 memory places. If, during the transmission of this first chain, another chain is announced, the card will ask the reservation of 16 new places in order to assure the storage of a maximum number of the chain according to the receiving conditions.
- 25 In order to read the data contained in the memory M, for example the position M3, the card SM can order, through the selector SW, the address multiplexer AMUX to return the content of this memory position. In order to forward these data towards the card, a data multiplexer DMUX has the function to read the memory position required and to transfer it towards the card. These different transfers are directed by the
- 30 selector SW.

When the carrying out of the chain has been interrupted by the lack of a interference on a message for example, the other messages continue to be stored in the memory

DRAFT ATTACHED

of the subscriber module. When the missing message is retransmitted by the managing centre, it is executed properly and the memory manager GM recalls all the other messages of the chain acceding the memory of the subscriber module. In this case, the entry of the intelligent card SM is not made any longer on the arrival of
5 messages but on the contents of the memory M. This access to memory M can be made in direct access specifying a memory address, or by sequential access reading the messages in their arrival order.

In an embodiment, the memory M is organized as a memory buffer of a fixed length according to the availability of the free memory of the subscriber module. This
10 memory includes an entry number increased on each message introduced in the memory, and an exit number increased on each reading by the memory manager GM.

The dialogue possibility between the card SM and the subscriber module STB, in particular the centre CTR, authorises more complex functions. One of problems
15 frequently met at the moment of the exchange of one or the other of elements of the system, both the card or the subscriber module, is to assure the compatibility of functions with the material of previous generations. For this, it is interesting to allow dialogue between the different elements in order to establish the functions available in each of them; this is the scope of the line I/O which allows to send instructions of
20 the card to the subscriber module. These instructions can, for example, ask the subscriber module to communicate its audio, video or data functions, the generation of the module or the software version. To answer to this request, the module STB disposes of means to compose a managing message and to transmit it, in the memory M for further reading by the card, or directly to card, such as represented in
25 Figure 3.

According to another embodiment of the invention, the module STB disposes of a connection by modem with the managing centre. In this case, the announcement of resources can be made by the module STB to the managing centre through the modem, on request of the security module SM.

30 As indicated in Figure 3, the module STB receives in the same way the managing messages coming from the managing centre. The messages arriving to the

processing centre CTR can contain a configuration request instruction. The response can be made by the modem or be transmitted to card SM. Some of these managing messages are only destined to the module STB and the processing centre CTR, responsible to the management of the module STB, will not transmit them to the

5 security module SM or to memory M.

CLAIMS

1. Method of transmission of chain of database managing messages between a management centre and a plurality of subscriber databases, each management message comprising a chain header (HD), a chain identifier (FM) allowing the simultaneous transmission of several chains and a chain index (FI) allowing to identify the message in the chain, characterized in that it consists in adding to each message a conditional block (CD) which determines if this message is to be processed without references to all or part of elements of the chain or to be processed according to the conditions linked to the previous processing of all or part of elements of the chain.
2. Method of transmission according to claim 1, characterised in that it consists in determining according to the conditional block (CD) if all or part of elements of the chain can, or must, or must not have been processed first.
3. Method of transmission according to claim 1 or 2, characterized in that it consists in managing a table to the heart of the subscriber database containing a piece of information representing the processing state of each element of the chain, and to update said table every time that an element of the chain is processed, and to restart said table either on request of the managing centre, or after a predefined time.
4. Method of transmission according to claims 1 to 3, characterised in that the subscriber database is linked to a subscriber unit and in that it consists in memorising the management messages in a memory of the subscriber unit and to present them on request to the database.
5. Method of transmission according to claim 4, characterised in that it consists in memorising in series the incoming messages, each incoming message causing the increasing of a stack pointer of incoming messages, and to allow a direct access of the messages asked from the database.
6. Method of transmission according to claim 4, characterised in that it consists in using the memory of the subscriber unit working as a serial memory buffer in a fixed length.

7. Method of transmission according to claims 4 to 6, characterised in that it consists in receiving in the database, a message element of a chain, and to allocate in the subscriber unit, the memory necessary for receiving all the elements of the chain.

8. Method of transmission according to claims 4 to 7, characterised in that it consists, on request, to allow the composition by the subscriber module of a managing message describing its software and hardware resources and in sending said message, either to the database (SM), or to the management centre.

9. Method of transmission according to claim 8, characterised in that this request is transmitted, either by the management centre under the form of a management message, or by the database (SM) under the form of an instruction by the I/O line.

10. Transmission system of chain of managing database messages comprising a management centre and a plurality of subscriber's unit, each unit comprising a database, each message comprising a header (HD), a chain identifier (FM) allowing the simultaneous transmission of several chains, and a chain index (FI) allowing to identify the message in the chain, characterised in that it includes a conditional block (CD) which determines if the message has to be processed without reference to all or part of the elements of the chain, or to be processed according to conditions linked to the previous processing of all or part of elements of the chain.

11. Transmission system of chain of messages according to claim 10, characterised in that the conditional block (CD) contains a condition determining if all or part of the elements of the chain can, or must, or must not have been processed first.

12. Transmission system of chain of messages according to claims 10 and 11, characterised in that the security module (SM) includes a message manager (GM) able to store in a memory the state of the processing of each message of the chain, and that it includes comparison means of this state with the conditions mentioned in the conditional block (CD) of the message in processing.

13. Transmission system of chain of messages according to claims 10 to 12, characterised in that the subscriber unit includes a memory (M) of messages, each

incoming message causing the displacement of the previous message in the memory (M), and in that the security module (SM) includes means to read and process these messages.

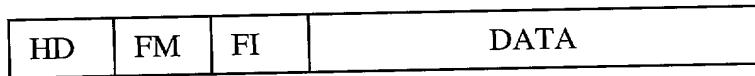
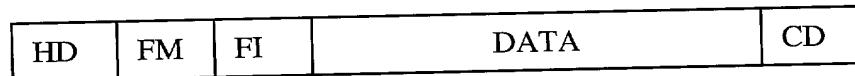
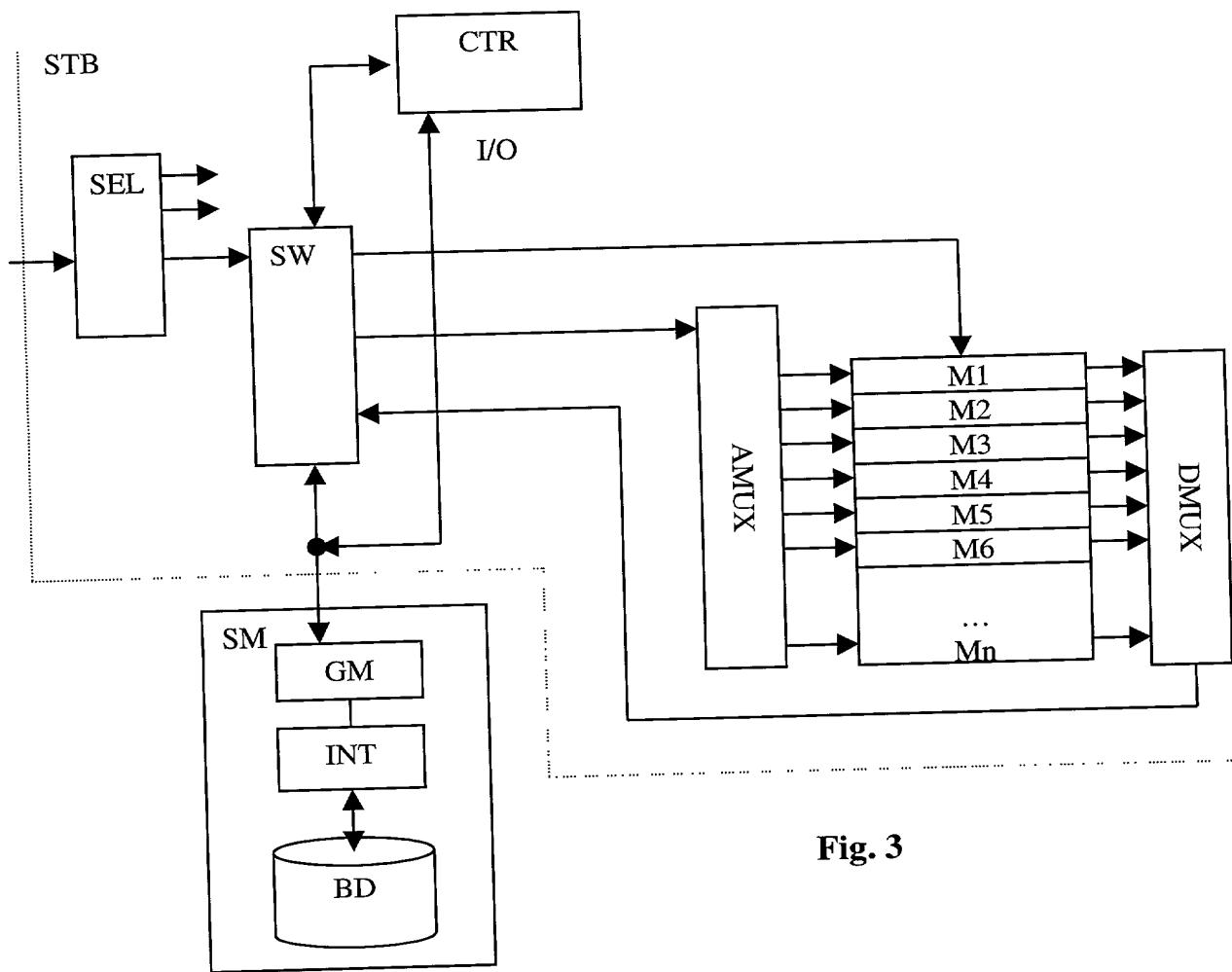
14. Transmission system of chain of messages according to claims 10 to 13, characterised in that the subscriber unit (STB) includes a connection line (I/O) towards the security module (SM) and that it includes means to determine the size of the memory (M) according to the instructions received from the security module (SM), and to answer about the composition and the sending of a managing message to the security module (SM).

15. Transmission system of chain of messages according to claims 10 to 13, characterised in that the subscriber unit (STB) includes a selection module (SW) allowing to connect the separator of management messages (SEL), the processing centre (CTR) of the subscriber module, the security module (SM) and the memory (M), and means to recognise the management messages destined only to the processing centre (CTR), and switching by the selection module (SW) these messages only towards the processing centre (CTR).

ABSTRACT

This invention consists in a process and in a transmission system of chain of database updating messages between a managing centre and a plurality of subscriber databases geographically shared. Each message includes a chain identifier (FM) and a chain index (FI) allowing to identify the message in the chain. If a message is not received following an interference in the connection, the processing of following messages can cause the locking of databases. In order to avoid this drawback, the solution consists in adding to each message a condition block (CD) which determines if this message has to be processed without reference to elements of the chain or which are the conditions linked to the previous processing of elements of the chain.

(Figure 3)

**Fig. 1****Fig. 2****Fig. 3**

**DECLARATION AND
POWER OF ATTORNEY FOR PATENT APPLICATION**

Docket No. 16673-8

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

IMPULSE PURCHASE SYSTEM FOR PAY-TELEVISION

the specification of which

(check one) is attached hereto.

- was filed on _____ as Application Serial No. _____
- and was amended on _____ (if applicable).
- was filed as PCT International Application No. PCT/IB00/01301 and was amended under PCT Article 19 on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign/PCT Application(s)	Priority Claimed			
1713/99 (Application No.)	CH (Country/PCT)	17 September 1999 (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Prior U.S./PCT Applications:

(U.S. Application Serial No.) (U.S. Filing Date) (Status-patented/pending/abandoned)

(PCT Application No.) (U.S. Filing Date) (U.S. Serial No. (Status-patented/pending/abandoned)
Assigned, if any)

I hereby declare that all statement made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Harold R. Woodard, No. 16214; C. David Emhardt, No. 18,483; Joseph A. Naughton Jr., No. 19,814; John V. Moriarty, No. 26,207; John C. McNett, No. 25, 533; Thomas Q. Henry, No. 28,309; James M. Durlacher, No. 28,840; Charles R. Reeves, No. 28,750; Vincent O. Wagner, No. 29,596; Steve Zlatos, No. 30,123; Spiro Bereveskos, No. 30,821; William F. Bahret, No. 31,087; Clifford W. Browning, No. 32,201; R. Randall Frisk, No. 32,221; Daniel J. Lueders, No. 32,581; Michael D. Beck, No. 32,722; and Kenneth A. Gandy, No. 33,386.

Address all telephone calls to: Clifford W. Browning at (317) 634-3456
Address all correspondence to: Clifford W. Browning, Esq.

WOODARD, EMHARDT, NAUGHTON, MORIARTY & MCNETT
Bank One Center/Tower
111 Monument Circle, Suite 3700
Indianapolis, Indiana 46204-5137

Full name of first inventor:

NICOLAS Christophe

Inventor's Signature:



Date

02/25/02

Residence

29 route de Lausanne, CH-1028 Préverenges

CH X

Country of Citizenship

SWISS

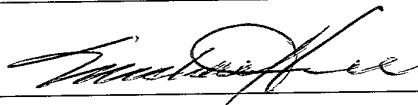
Post Office Address

22, route de Genève, CH-1033 Cheseaux-sur-Lausanne

Full name of second inventor:

HILL Michael John

Inventor's Signature:

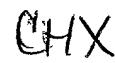


Date

20 Februar 2007

Residence

10, route de Commugny, CH-1296 Coppet



Country of Citizenship

SWISS

Post Office Address

22, route de Genève, CH-1033 Cheseaux-sur-Lausanne